Russian èto, predication, and big DPs

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1 This paper addresses the well-known puzzle of $\dot{e}to$ copular constructions in Russian, illustrated in (1). We develop a novel analysis whereby $\dot{e}to$ in constructions of the type '(NOM₁) $\dot{e}to$ NOM₂' (where NOM represents a nominative noun phrase) plays the role of a predicate (cf. Moro 1997 on English it), with NOM₂ as the subject of predication. We further argue that NOM₁, when present, forms a constituent with $\dot{e}to$ – a 'big DP' (cf. Torrego 1992, Uriagereka 1995, Kayne 2005), with $\dot{e}to$ in D⁰ and NOM₁ in SpecDP. The proposal goes against treating $\dot{e}to$ in '(NOM₁) $\dot{e}to$ NOM₂' constructions as the subject of predication (Junghanns 1997, Geist 2008), or as a dedicated functional head on the clausal spine (Bowers 1993, Geist & Błaszczak 2000, Markman 2007, a.o.); it also explicitly rejects a treatment of NOM1 as a hanging topic.

(1) a. Èto moj brat.

this my brother

'This is my brother.'

b. Petja – èto moj brat

Petja this my brother

'Petja is my brother.'

The proposed analysis captures all the relevant properties of *èto* constructions and makes some important predictions about their distribution. In addition, the 'big DP' approach allows us to establish a link between *èto* copular constructions with a nominal subject and clausal prolepsis, with the potential to provide a unified analysis for various constructions involving *èto* and equivalent demonstrative pronouns in other languages.

- **2** The analysis of Russian 'èto NOM₂' constructions is schematized in (2), where èto is the underlying predicate, merged in the complement of the RELATOR head (Den Dikken 2006), and NOM₂ is its subject. In the course of the syntactic derivation, èto is moved to Spec,TP (predicate inversion; Moro 1997, Den Dikken 2006); an overt copula is in T⁰.
- (2) $\left[\text{TP be } \left[\text{SC NOM}_2 \left[\text{R}, \text{R}^0 \right] \right] \right]$

That èto is a predicate pro-form is evident from (3): in (3B), ona cannot be used to refer back to the wife of Henry VI, the predicate of the preamble (3A); only èto can take a predicate as its antecedent.

- (3) A: I think that Isabella of France was [the famous wife of Henry VI]_i.
 - B: Net, èto_i / *ona_i byla Margarita Anžujskaja. No, this / she was Margaret of.Anjou
 - 'No. This (= the wife of Henry VI) was Margaret of Anjou.'
- 3 The analysis in (2) straightforwardly accounts for the following properties of *èto* copular constructions, which do not follow automatically from other accounts: (i) *èto* cannot appear in predicative copular constructions or in specificational constructions of the kind 'It/this *èto* NOM', since there can only be one main predicate per clause; (ii) being the subject of predication, NOM₂ must be referential i.e., in '(NOM₁) *èto* NOM₂' constructions, NOM₂ cannot refer to a property (cf. also Geist 2008); (iii) NOM₂ must carry nominative case and control the agreement, since, as the subject of predication, it is probed by T⁰ (in a similar way T⁰ agrees downwards with the notional subject in OVS clauses; see Pereltsvaig 2019). We further assume that, since *èto* always corresponds to given information, it obligatorily moves to Spec,TP (a position that commonly hosts presupposed/referential material in Russian; cf. Bailyn 2004, Titov 2018), allowing for NOM₂, the new information, to occupy the clause-final position, associated, in Russian, with identificational focus (cf. Pereltsvaig 2004), as in (4).
- (4) $\left[\operatorname{TP} \hat{e}to_i \right] \left[\operatorname{T}^0 \text{ be} \right] \left[\operatorname{SC NOM}_2 \right] \left[\operatorname{R}^0 \right] \left[\operatorname{R}^0 \right]$
- 4 For constructions of the type 'NOM₁ èto NOM₂', we argue that their structure is parallel to that in (2/4) with one exception: NOM₁ and èto form a 'big DP', where the demonstrative is in D^0 , while the NOM₁ is merged in Spec,DP and a silent *pro* sits in the complement of D^0 (5).
- (5) $\lceil_{DP} \text{ NOM}_1 \lceil_{D'} \lceil D^0 \hat{e}to \rceil \lceil_{NP} pro \rceil \rceil \rceil$

Since NOM₁ (qua specifier of the occupant of Spec,TP) is not itself in a relationship with T⁰, this accounts for the fact that NOM₁ in the sentences under discussion never controls agreement. This is shown in (6), where NOM₁ is plural and NOM₂ is masculine:

- (6) a. Moi kazni egipetskie èto **byl/*byli/*bylo** Petja.
 my plagues Egyptian this was.M/were/was.N Petja.NOM
 'My plagues of Egypt (i.e. my bane), that was Petja.'
- b. [TP [DP [Moi Kazni Egipetskie]_i [D' [D⁰ èto] [NP pro_i]]]_k [T' byl [SC [Petja] [R' R⁰ t_k]]]]]] Similarly to the 'big DPs' identified for the Romance languages (Torrego 1992, Uriagereka 1995, 2005, a.o.), formed by a referential nominal phrase in Spec,DP and a clitic in D⁰, the complement of D⁰ in Russian 'big DPs', pro, must always be silent. The pro must be licensed by being in a local relationship with a structurally present, non-elliptical (though not necessarily overt) finite T⁰, which explains the limited distribution of the [NOM₁ èto] unit (in particular, its unavailability in e.g. fragment answers and coordinate structures).
- 5 The fact that [NOM₁ èto] can be a constituent occupying Spec,TP correctly predicts that 'NOM₁ èto NOM₂' can readily be embedded under non-bridge predicates, as in (7). The grammaticality of (7) is an insurmountable problem for accounts of 'NOM₁ èto NOM₂' constructions that treat NOM₁ exclusively as a hanging topic.
- (7) Udivitel'no, čto železnyj čelovek èto Toni. surprising that iron man.NOM this Tony.NOM 'It is surprising that Iron Man is Tony.'

The fact that [NOM₁ $\grave{e}to$] can (and in embedded contexts must) be a constituent raised to Spec,TP also provides an explanation for the ungrammaticality of (8a) with $\grave{e}to$: the question particle li cannot break into the [NOM₁ $\grave{e}to$] unit; moving NOM₁ to Spec,CP via subextraction out of this unit is a violation of criterial freezing. The ungrammaticality of $\grave{e}to$ in wh-questions such as (8b) also follows: here NOM₁ (kto) can neither be a hanging topic (for information-structural reasons) nor be raised to Spec,CP via subextraction from [NOM₁ $\grave{e}to$] in Spec,TP (because of freezing).

- (8) a. Mama sprosila železnyj čelovek li (*èto) Toni.

 Mum asked iron man.NOM Q this Tony.NOM
 'Mum asked whether the Iron Man was Toni.'
 - b. Kto (*èto) Toni? who.NOM this Tony.NOM 'Who's Tony?'
- 6 In other contexts the 'big DP' may be an argument, like any other DP; this is not precluded by the analysis. In (9a), the big DP serves as the subject of prijatno 'nice', with $\dot{e}to$ in D⁰ and the subordinate clause in Spec,DP. The CP can alternatively be 'extraposed', with $\dot{e}to$ as a proleptic pronoun (9b). Here, the CP binds the *pro* in the complement of D⁰ from its surface clause-final position and establishes the interpretive link between CP and the matrix clause subject thereby.
- (9) a. Čto my guljaem v parke, èto prijatno. that we walk in park this nice [DP [CP čto my guljaem v parke] [D, D=èto [pro]]]
 - b. Èto prijatno, čto my guljaem v parke. this nice that we walk in park [DP [D' D^0=èto [pro]]] [CP čto my guljaem v parke] both: 'It's nice that we're walking in the park.'
- 7 Though in the 'big DP' analysis the $\dot{e}to$ of 'NOM₁ $\dot{e}to$ NOM₂' constructions is a D⁰, not a Top⁰ (as in e.g. Markman 2007), there is an important parallel between the construal of $\dot{e}to$ in 'NOM₁ $\dot{e}to$ NOM₂' constructions and the function of $\dot{e}to$ as a Top-head: in both, $\dot{e}to$ is a functional head mediating a relationship between two terms that are in a semantic co-construal relationship (NOM₁ and pro in the former, and the topic and the comment in the latter). This establishes a fundamental parallel between these two approaches to $\dot{e}to$ that have generally been viewed as irreconcilable.

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